

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|              |  |             |               |
|--------------|--|-------------|---------------|
| Applicant :  | John David Fraser et al                    | Art Unit :  | 1644          |
| Serial No. : | 10/006,797                                 | Examiner :  | Amy E. Juedes |
| Filed :      | December 4, 2001                           | Conf. No. : | 9884          |
| Title :      | IMMUNOMODULATORY CONSTRUCTS AND THEIR USES |             |               |

**MAIL STOP AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. The required fee pursuant to 37 C.F.R. §1.17(p) in the amount of \$180 is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 3/20/2007

  
Y. Jenny Chen  
Reg. No. 55,055

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

|  |  |                                       |                               |
|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449<br>(Modified) | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>12669-002001 | Application No.<br>10/006,797 |
|  |  | Applicant<br>John David Fraser et al  |                               |
|  |  | Filing Date<br>December 4, 2001       | Group Art Unit<br>1644        |

**Information Disclosure Statement  
by Applicant**  
(Use several sheets if necessary)

(37 CFR §1.98(b))

| U.S. Patent Documents |           |                 |                  |                 |       |          |                            |
|-----------------------|-----------|-----------------|------------------|-----------------|-------|----------|----------------------------|
| Examiner Initial      | Desig. ID | Document Number | Publication Date | Patentee        | Class | Subclass | Filing Date If Appropriate |
|                       | AA        | 6,095,315       | 08/01/2000       | Andersch et al. |       |          |                            |
|                       | AB        |                 |                  |                 |       |          |                            |

| Foreign Patent Documents or Published Foreign Patent Applications |           |                 |                  |                          |       |          |             |    |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial  | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |    |
|   |           |                 |                  |                          |       |          | Yes         | No |
|   | AC        |                 |                  |                          |       |          |             |    |

| Other Documents (Include Author, Title, Date, and Place of Publication) |           |  |
|---|-----------|--|
| Examiner Initial  | Desig. ID | Document   |
|   | AD        | Leder et al., "A mutational analysis of the binding of staphylococcal enterotoxins B and C3 to the T cell receptor $\beta$ chain and major histocompatibility complex class II: J. Exp. Med. 187(6):823-833, March 1998. |
|   | AE        | Unnikrishnan et al., "The Bacterial Superantigen Streptococcal Mitogenic Exotoxin Z Is the Major Immunoactive Agent of Streptococcus pyogenes" The Journal of Immunology (2002) 169(5) 2561-9                            |
|   | AF        |  |

|  |                 |
|--|-----------------|
| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |